

PTO-1449 (Modified)

ATTY. DOCKET NO.
003797.80028SERIAL NUMBER
09/556,865

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEAPPLICANT
Darryl Rubin et al.

OCT 25 2002

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

Technology Center 2100

FILING DATE
04/21/00GROUP ART UNIT
2776

U.S. PATENT DOCUMENTS

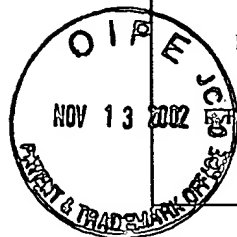
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
<i>MS</i>	5,146,552	09/08/1992	Cassoria et al.			
<i>MS</i>	5,802,516	09/01/1998	Shwartz et al.			
<i>MS</i>	5,559,942	09/24/1996	Gough et al.			
<i>MS</i>	5,956,034	09/21/1999	Sachs, et al.			
<i>MS</i>	US 2002-0099552 A1	01/25/2001	Rubin et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
<i>MS</i>	WO 97 22109 A	6/19/97	PCT			
<i>MS</i>	WO 87/01481	3/12/87	PCT			
<i>MS</i>	WO 98/09446	3/05/98	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>MS</i>	SCHILIT and PRICE: "Digital Library Information Appliances", ACM Conference on Digital Libraries, June 23, 1992, pages 217-226		
<i>MS</i>	GRAEFE, et al: "Designing the muse: A Digital Music Stand for the Symphony Musician", Proc. of Conf on Human Factors In Computing Systems, April 13-18, 1996, pages 436-441		
<i>MS</i>	SOFTBOOK® Press The Leader in Internet-Enabled Document Distribution and Reading Systems; http://www.softbook.com/consumer/reader.asp		
<i>MS</i>	Rocket eBook Using the Rocket eBook; http://www.rocketbook.com/Products/Faq/using.html		
<i>MS</i>	Explore the Features of the Rocket eBook; http://www.rocketbook.com/Products/Tour/index.html		
<i>MS</i>	CRESPO, CHANG, BIER: Computer Networks and ISDN Systems "Responsive interaction for a large Web application: the meteor shower architecture in the WebWriter II Editor", 1997, pages 1508-1517		
EXAMINER	<i>Matthew Lindsey</i>	DATE CONSIDERED	<i>12/10/03</i>
EXAMINER: Initial citation was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.			



PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. 003797.80028	SERIAL NUMBER 09/556,865
	APPLICANT Darryl Rubin et al.	
	FILING DATE 04/21/00	GROUP ART UNIT 2776

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
MT	5,146,552	09/08/1992	Cassorla et al.			
MT	5,802,516	09/01/1998	Shwarts et al.			
MT	5,559,942	09/24/1996	Gough et al.			
MT	5,956,034	09/21/1999	Sachs, et al.			
MT	US 2002-0099552 A1	01/25/2001	Rubin et al.			

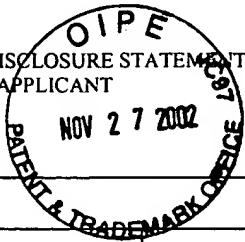
FOREIGN PATENT DOCUMENTS

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MT	WO 97 22109 A	6/19/97	PCT				
MT	WO 87/01481	3/12/87	PCT				
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MT	GRAEFE, et al: "Designing the muse: A Digital Music Stand for the Symphony Musician", Proc. of Conf on Human Factors In Computing Systems, April 13-18, 1996, pages 436-441	
MT	SOFTBOOK® Press The Leader in Internet-Enabled Document Distribution and Reading Systems; http://www.softbook.com/consumer/reader.asp	
MT	Rocket eBook Using the Rocket eBook; http://www.rocketbook.com/Products/Faq/using.html	
MT	Explore the Features of the Rocket eBook; http://www.rocketbook.com/Products/Tour/index.html	
MT	CRESPO, CHANG, BIER: Computer Networks and ISDN Systems "Responsive interaction for a large Web application: the meteor shower architecture in the WebWriter II Editor", 1997, pages 1508-1517	
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
<i>MS</i>	6,034,689	03/07/00	White et al.			
<i>MS</i>	6,018,344	01/25/00	Harada et al.			
<i>MS</i>	6,397,264	05/28/02	Stasnick et al.			
<i>MS</i>	6,243,091	06/05/01	Boretis			
<i>MS</i>	5,854,630	12/29/98	Nielsen			
<i>MS</i>	5,835,092	11/10/98	Boudreau et al.			
<i>MS</i>	6,072,400	06/06/00	Dates et al.			

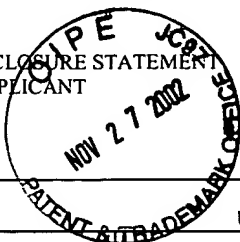
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EXAMINER <i>Matthew Luby</i>	DATE CONSIDERED <i>12/10/03</i>
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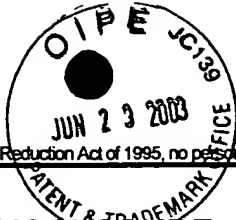
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
MT	5,717,860	02/10/98	Graber et al.			
MT	6,377,983	04/23/02	Cohen et al.			
MT	6,105,044	08/15/00	DeRosa et al.			
MT	5,860,074	01/12/99	Rowe et al.			
MT	5,819,301	10/06/98	Rowe et al.			
MT	6,243,071	06/05/01	Shwartz et al.			
MT	6,037,934	03/14/00	Himmel et al.			

FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 4

Complete if Known

Application Number	09/556,865
Filing Date	04/21/2000
First Named Inventor	Darryl Rubin et al.
Group Art Unit	2776
Examiner Name	TBD
Attorney Docket Number	003797.80028

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Technology Center 2100**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
MT		US- 5,239,466	08/24/1993	Morgan et al.	
MT		US- 5,434,965	07/18/1995	Matheny et al.	
MT		US- 5,572,643	11/05/1996	Judson	
MT		US- 5,632,022	05/20/1997	Warren et al.	
MT		US- 5,682,439	10/28/1997	Beernink et al.	
MT		US- 5,687,331	11/11/1997	Volk et al.	
MT		US 5,877,757	03/02/1999	Baldwin et al.	
MT		US 5,978,818	11/02/1999	Lin	
MT		US 6,321,244 B1	11/20/2001	Liu et al.	
MT		US- 5,717,879	02/10/1998	Moran et al.	
MT		US- 5,729,687	03/17/1998	Rothrock et al.	
MT		US- 6,181,344	01/30/01	Terpenning et al.	
MT		US- 6,094,197	07/25/00	Buxton et al.	
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MT		US- 6,331,867	12/18/2001	Eberhard et al.	
MT		US- 6,356,287	03/12/2002	Ruberry et al.	
MT		US- 5,790,818	08/04/1998	Martin	
MT		US- 5,821,925	10/13/1998	Carey et al.	
MT		US- 5,948,040	09/07/1999	DeLorme et al.	
MT		US- 5,950,214	09/07/1999	Rivette et al.	
MT		US- 6,389,494 B1	05/14/2002	Rivette et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
MT		WO 96/20908	07/11/1996	Mikitenko et al.		
MT		WO 98/06054	02/12/1998	Huffman et al.		
MT		WO 99/49383	09/30/1999	Ruberry et al.		

Examiner
Signature

Matthew Judley

Date
Considered

12/10/03

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	2	of	4
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Complete if Known

Application Number	09/556,865
Filing Date	04/21/2000
First Named Inventor	Darryl Rubin et al.
Group Art Unit	2776
Examiner Name	TBD
Attorney Docket Number	003797.80028

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
ME		US 5,845,262	12/01/1998	Nozue et al.	
ME		US 6,011,537	01/04/2000	Slotznick	
ME		US 5,760,773	06/02/1998	Berman et al.	
MT		US 6,560,621	05/06/2003	Barile	
MT		US 6,490,603 B1	12/03/2002	Keenan et al.	
MT		US 6,446,110 B1	09/03/2002	Lection et al.	
MT		US 6,535,294 B1	03/18/2003	Arledge, Jr. et al.	
MT		US 6,539,370 B1	03/25/2003	Chang et al.	
MT		US 6,301,590 B1	10/09/2001	Siow et al	
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MT		US 6,393,422 B1	05/21/2002	Wone	
MT		US 5,983,248	11/09/1999	DeRose et al.	
MT		US 5,937,416	08/10/1999	Menzel	
MT		US 5,933,140	08/03/1999	Strahorn et al.	
MT		US 6,012,055	01/04/2000	Campbell et al.	
MT		US 6,052,514	04/18/2000	Gill et al.	
MT		US 6,122,649	09/19/2000	Kanerva et al.	
MT		US 6,271,840 B1	08/07/2001	Finseth et al.	
MT		US 6,091,930	07/18/2000	Mortimer et al.	
MT		US 6,421,065 B1	07/16/2002	Walden et al.	
MT		US 6,279,005 B1	08/21/2001	Zellweger	
MT		US 5,893,126	04/06/1999	Drews et al.	

FOREIGN PATENT DOCUMENTS

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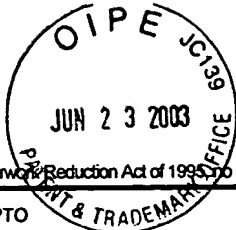
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B(10-01)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 4 of 4

Compleat if Known

Application Number	09/556,865
Filing Date	04/21/2000
First Named Inventor	Darryl Rubin et al.
Group Art Unit	2776
Examiner Name	TBD
Attorney Docket Number	003797.80028

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MA		"Adobe Acrobat 3.0 Reader Online Guide", Adobe Systems, Inc., pages 1-110	
MA		KUNIKAZU, T., Patent Abstracts of Japan, Publication No. 11327789 for Color Display and Electronic Blackboard System, published 11/30/1999	
MA		Open eBook Forum, Open eBook™ Publication Structure 1.0, http://www.ebxwg.org/oebps/oebps1.0/download/oeb1-oebps.htm	
MA		KRISTENSEN, A., "Formsheets and the XML Forms Language" (March 1999)	
MA		Dublin Core Resource Types: Structurality DRAFT: July 24, 1997, February 27, 1998	
MA		Dublin Core Metadata Element Set, Version 1.1: Reference Description 1999	
MA		Dublin Core Metadata Initiative: User Guide Working Draft 1998-07-31 1999	

Examiner Signature	<i>Matthew Ledy</i>	Date Considered	12/10/03
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¹ Unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

Methods and Apparatus for Displaying Multiple Contexts in
Electronic Documents

Application Number: 09/556865
Confirmation Number: 6686
First Named Applicant: Darryl Rubin
Attorney Docket Number: 003797.80028
Art Unit: 2178
Examiner: Heather R Herndon
Search string: (5434929 or 5666113).pn.

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Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5434929	1994-07-12	Beernink et al.			
	2	5666113	1995-09-05	Logan			

Remarks

Not : Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO



1449 form. The undersigned certifies under 37 C.F.R. 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the information disclosure statement. The references were first cited in related application Serial No. 09/455,808 in an Office Action mailed July 1, 2003. Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. Applicants believe that no fee is necessary pursuant to 37 C.F.R. 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

Examiner Name	Date
<i>Matthew Lindsey</i>	<i>12/1/03</i>

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	2
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Complete if Known

Application Number	09/556,865
Filing Date	04/21/2000
First Named Inventor	Darryl E. Rubin et al.
Art Unit	2178
Examiner Name	Heather R. Herndon
Attorney Docket Number	003797.80028

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Matth Ludwig

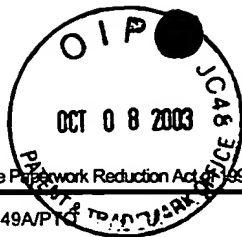
Date Considered

12/10/03

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. B x 1450, Alexandria, VA 22313-1450.

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PTO/SB/08b(05-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE
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Sheet 2

of 2

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First Named Inventor	Darryl E. Rubin et al.
Group Art Unit	2178
Examiner Name	Heather R. Herndon
Attorney Docket Number	003797.80028

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
ME		Hirotsu et al. "Cmew/U - A Multimedia Web Annotation Sharing System", NTT Network Innovation Laboratories - Japan, IEEE, 1999, pages 356-359.	
ME		Kuo et al., "A Synchronization Scheme for Multimedia Annotation", Multimedia Information Networking Laboratory, Tamkang University - Taiwan, IEEE, 1997, pages 594-598.	
ME		"Hucklefine Defined", 4/1990, "http://www.ylem.org/artists/mmasher/huck.html", pages 1-3	
ME		"Tour of Korea Hypercard Stack", 1996, Grose Educational Media, "http://www.entrenet.com/~groedmed/kor2.html", pages 1-2.	
ME		Bizweb2000.com - screenshots of an e-book, published on 5/1999, pages 1-4 "http://web.archive.org/web/"http://www.bizweb2000.com/eshots.htm".	

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OCT 09 2003

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Examiner Signature	Matthew Ludwig	Date Considered	12/10/03
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This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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